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PTO/SB/05 (03-01)

Please type a plus sign (+) inside this box-Approved for use through 10/31/2002. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. SONY 3.0-012 UTILITY Attorney Docket No. PATENT APPLICATION First Inventor Harold A. Ludtke Method and System of Broadcasting Item Location TRANSMITTAL Express Mail Label No. EL807552376 US (Only for new nonprovisional applications under 37 CFR 1.53(b)) **Box Patent Application** APPLICATION ELEMENTS ADDRESS TO: Commissioner for Patents See MPEP chapter 600 concerning utility patent application contents. Washington, DC 20231 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing, Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission 2. See 37 CFR 1.27. (if applicable, all necessary) 3 Х Specification [Total Pages 22 Computer Readable Form (CRF) a. (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention
 Cross Reference to Related Applications
 Statement Regarding Fed sponsored R & D
 Reference to sequence listing, a table, CD-ROM or CD-R (2 copies); or paper Statements verifying identity of above copies C. or a computer program listing appendix Background of the Invention Brief Summary of the Invention Brief Description of the Drawings (if filed) ACCOMPANYING APPLICATIONS PARTS 9. Assignment Papers (cover sheet & document(s)) - Detailed Description 37 CFR 3.73(b) Statement Power of 10 - Abstract of the Disclosure (when there is an assignee) Attorney X Drawing(s) (35 U.S.C. 113) 11. English Translation Document (if applicable) [Total Sheets Information Disclosure Copies of IDS 5. Oath or Declaration Total Pages 12. Statement (IDS)/PTO-1449 Newly executed (original or copy) 13. Preliminary Amendment Copy from a prior application (37 CFR 1.63(d)) Return Receipt Postcard (MPEP 503) 14. (for continuation/divisional with Box 18 completed) (Should be specifically itemized) Certified Copy of Priority Document(s) 15. **DELETION OF INVENTOR(S)** (if foreign priority is claimed) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). 16. Applicant must attach form PTO/SB/35 or its equivalent. Unexecuted declaration 17. х Other: Application Data Sheet. See 37 CFR 1.76 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Sheet under 37 CFR 1.76: Divisional Continuation-in-part (CIP) of prior application No.: Continuation Prior application information: Examiner Group / Art Unit: For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS Correspondence address below X Customer Number or Bar Code Label or 000530 Name Address Zip Code City State Country Telephone Registration No. (Attorney/Agent) 35,858 Name (Print/Type) Jeffrey S. Djckey December 11, 2001 Date Signature

Express Mail Label No. EL807552376 US Dated: December 11, 2001

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| FEE TRANSMITTAL for FY 2002 | | Complete if Known | | | | | | | | |
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| | | Application Number | | | | | | | | |
| | | Filing Date | | | | | | | | |
| 1 | | First Named Inventor Harold A. Ludtke | | | Ludtke | | | | | |
| Patent fees are subject to annual revision. | | Examiner Name | | | | Not Yet Assigned | | | | |
| Application claims small entity status. See 37 CFR 1.27 | | Group | ArtI | Init | | | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1142.00 | | | | ocket No | , | SONY 3.0 | 0-012 | | | |
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| METHOD OF PAYMENT (check all that apply) Credit Money Other Name | - | | | | | ATION (co | nunuea) | | | |
| Check Card Order Other None X Deposit Account | ne 3. ADDITIONAL FEES | | | | | | | | | |
| Deposit 40.4005 | | e Entity | | II Entity | - | | | | | |
| Account Number 12-1095 | Fee Code | Fee (\$) | Fee Code | Fee (\$) | | Fee Desc | ription | Fee Paid | | |
| Deposit Lemer, David, Littenberg, | 105 | 130 | 205 | 65 | Surcharge | – late filing fe | e or oath | | | |
| Account Name Krumholz & Mentlik, LLP | 127 | 50 | 227 | 25 | _ | | onal filing fee or cover | | | |
| The Commissioner is hereby authorized to: (check all that apply) | 121 | 30 | 221 | 25 | sheet. | | | | | |
| X Charge fee(s) indicated below X Credit any overpayments | 139 | 130 | 139 | 130 | Non-Englis | h specification | n | | | |
| Charge any additional fee(s) during the pendency of this application | 147 | 2,520 | 147 | 2,520 | For filing a r | equest for ex p | parte reexamination | | | |
| Charge fee(s) indicated below, except for the filing fee | 112 | 920* | 112 | 920* Requesting publication of SIR prior to Examiner action | | | | | | |
| to the above-identified deposit account. | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after | | | | | |
| FEE CALCULATION | 115 | 110 | 215 | 55 | Examiner action Extension for reply within first month | | | | | |
| 1. BASIC FILING FEE | 116 | 400 | 216 | 200 | Extension f | or reply within | n second month | | | |
| Large Entity Small Entity | 117 | 920 | 217 | 460 | Extension f | or reply within | third month | | | |
| Fee Fee Fee Fee Fee Description Fee Paid | 118 | 1,440 | 218 | 720 | Extension f | or reply within | fourth month | | | |
| 101 740 201 370 Utility filing fee 740.00 | 128 | 1,960 | 228 | 980 | Extension f | or reply within | n fifth month | | | |
| 106 330 206 165 Design filing fee | 119 | 320 | 219 | 160 | Notice of A | ppeal | | | | |
| 107 510 207 255 Plant filing fee | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | | | | |
| 108 740 208 370 Reissue filing fee | 121 138 | 280 | 221 138 | 140 | • | r oral hearing | dia usa procoadina | \vdash | | |
| 114 160 214 80 Provisional filing fee | 140 | 1,510 110 | 240 | 1,510 55 | | revive – unav | lic use proceeding oidable | | | |
| SUBTOTAL (1) (\$) 740.00 | 141 | 1,280 | 241 | 640 | | revive - uninte | | | | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 142 | 12 1,280 242 640 Utility issue fee (or reissue) | | | | | | | | |
| Extra Fee from Fee Paid Claims below | 143 | 460 | 243 | 230 | Design issu | ue fee | | | | |
| Total Claims 33 -** = 13 x 18 = 234.00 | 144 | 620 | 244 | 310 | Plant issue | fee | | | | |
| Independent 5 -** = 2 x 84.00 = 168.00 | 122 | 130 | 122 | 130 | Petitions to | the Commiss | sioner | | | |
| Multiple Dependent = | 123 | 50 | 123 | 50 | Processing | fee under 37 | CFR 1.17(q) | | | |
| Large Entity Small Entity | 126 | 180 | 126 | 180 | | | on Disclosure Stmt | | | |
| Fee Fee Fee Fee Code (\$) Code (\$) | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | | | | |
| 103 18 203 9 Claims in excess of 20 | 146 | 740 | 246 | 370 | Filing a submission after final rejection | | | | | |
| 102 84 202 42 Independent claims in excess of 3 | 149 | 740 | 249 | 370 | (37 CFR 1.129(a)) For each additional invention to be | | $\vdash \vdash \vdash$ | | | |
| 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims | 179 | 740 | 279 | 370 | examined (37CFR 1 129(h)) Request for Continued Examination (RCE) | | \vdash | | | |
| over original patent | 169 | 900 | 169 | 900 | Request for | r expedited ex | | | | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent | ı | | l | | of a design | application | | | | |
| Cities to (Specify) | | | | | | | | | | |
| SUBTOTAL (2) (\$) 1142.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) **or number previously paid, if greater; For Reissues, see above | | | | | | | | | | |
| SUBMITTED BY Complete (if applicable) | | | | | | | | | | |
| Name (Print/Type) Jeffrey S. Dickey | Regist | tration No | 3: | 5,858 | | · · · | (908) 518-6399 | | | |
| and the state of t | | | · · · · · · · · · · · · · · · · · · · | | | | | | | |
| Signature Date December 11, 2001 | | | | | 1001 | | | | | |
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Express Mail Label No. EL 807552376US Dated: December 11, 2001